

# Financial Statement - Auditor's Report Candidate - Form 4

Municipal Elections Act, 1996 (Section 88.25)

## Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 

YYYY	MM	DD
2018	07	09

 to 

YYYY	MM	DD
2018	12	31

☐ Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)

☐ Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

## Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name or Single Name

ANDREW J. SHANKS

Given Name(s)

ANDREW JAMES SHANKS

Office for which the candidate sought election

SCHOOL BOARD TRUSTEE UCD SB

Ward name or no. (if any)

WARD 6

Municipality

NORTH GRENVILLE

Spending Limit - General

\$

Spending Limit - Parties and Other Expressions of Appreciation

\$

☐ I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

## Box B: Declaration


I, ANDREW J. SHANKS, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.



Signature of Candidate

26/3/19

Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd)	Time Filed	Initial of Candidate or Agent (if filed in person)	Signature of Clerk or Designate
26/3/19	2:10 PM	AS.	

**Box C: Statement of Campaign Income and Expenses****LOAN**

Name of bank or recognized lending institution

*N/A*

Amount borrowed

\$

**INCOME**

Total amount of all contributions (from line 1A in Schedule 1)

+ \$ *418.10*

Revenue from items \$25 or less

+ \$

Sign deposit refund

+ \$

Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)

+ \$

Interest earned by campaign bank account

+ \$

Other (provide full details)

+ \$

1. \_\_\_\_\_ + \$

2. \_\_\_\_\_ + \$

3. \_\_\_\_\_ + \$

4. \_\_\_\_\_ + \$

5. \_\_\_\_\_ + \$

**Total Campaign Income (Do not include loan)**= \$ *418.10* C1**EXPENSES** (Note: include the value of contributions of goods and services)**Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)

+ \$

Advertising

+ \$

Brochures/flyers

+ \$

Signs (including sign deposit)

+ \$ *418.10*

Meetings hosted

+ \$

Office expenses incurred until voting day

+ \$

Phone and/or internet expenses incurred until voting day

+ \$

Salaries, benefits, honoraria, professional fees incurred until voting day

+ \$

Bank charges incurred until voting day

+ \$

Interest charged on loan until voting day

+ \$

Other (provide full details)

+ \$

1. \_\_\_\_\_ + \$

2. \_\_\_\_\_ + \$

3. \_\_\_\_\_ + \$

4. \_\_\_\_\_ + \$

5. \_\_\_\_\_ + \$

**Total Expenses subject to general spending limit**= \$ *418.10* C2**EXPENSES****Expenses subject to spending limit for parties and other expressions of appreciation**

1. \_\_\_\_\_ + \$

2. \_\_\_\_\_ + \$

3. \_\_\_\_\_ + \$

4. \_\_\_\_\_ + \$

5. \_\_\_\_\_ + \$

**Total Expenses subject to spending limit for parties and other expressions of appreciation**= \$ *0* C3

# Print1

2565 Steeles Ave E Unit 21 Brampton  
Office: 905-454-7666 Cell: 416-878-2622

DESIGNING

S \_\_\_\_\_ F

☐ Ready For Pick Up

Order Form

☐ Picked Up

☐ Shipped on \_\_\_\_\_

Date: 4 Oct 2018

Tel no: \_\_\_\_\_

Name: Audrey Shankle

Address: \_\_\_\_\_

Email: \_\_\_\_\_

## DESCRIPTION OF JOB

QUANTITY

SIZE - COLOURS - STOCK

☐ BUSINESS CARDS

☐ FLYERS

☐ LAWN SIGNS

Proof

Required



**YES**

☐ In person

☐ Email proof

☐ Text proof



**NO**

☐ PRINTER'S DISCRETION

☐ DESIGN PROVIDED

(We will not accept any responsibility for any errors, colour mismatch and so forth)



**REPRINT**

☐ WITH CHANGES

☐ NO CHANGES

PRINT 1

2565 STEELS AV EAST UNIT  
BRAMPTON ON

CARD \*\*\*\*\*2568

CARD TYPE VISA

DATE 2018/10/04

TIME 0403 13:21:45

RECEIPT NUMBER

M84069034-001-959-014-0

PURCHASE

TOTAL

**\$367.25**

**APPROVED**

AUTH# 043600

01-027

THANK YOU

CARDHOLDER SIGNATURE

OBTAIN MANUAL IMPRINT

CARDHOLDER WILL PAY

CARD ISSUER ABOVE AMOUNT

PURSUANT TO CARDHOLDER  
AGREEMENT.

MERCHANT COPY

IMPORTANT - RETAIN THIS  
COPY FOR YOUR RECORDS

Signature:

Date:

## COST

4 bag Signer Blue	250
shipping	75

510-1560-2060-2558 EXP. 02/19

AME/PASS:

As stated above, And do acknowledge that it is my personal and Business's (that I am representing) sole  
And do hereby authorize Print1 to charge goods and services to my credit card, I agree to use the above  
units on account for which payment has not been received. And do agree to the terms and conditions of Print1.

Pick Up / Del.

Name:

Signature:

DESIGNING ~

CHANGE CAN CHANGE DEPENDING ON TIME INVESTED

SUB-TOTAL

TAXES

**TOTAL**

DEPOSIT (min 50%)

**BALANCE**

\$

\$325

\$

\$367.25

\$

\$

# Print1

2565 Steeles Ave E Unit 21 Brampton  
Office: 905-454-7666 Cell: 416-878-2622

DESIGNING

S \_\_\_\_\_ F \_\_\_\_\_

☐ Ready For Pick Up

Order Form

☐ Picked Up

☐ Shipped on \_\_\_\_\_

Date: 4<sup>th</sup> Oct, 2018

Tel no: \_\_\_\_\_

Name: Andy Phankes

Address: \_\_\_\_\_ Email: \_\_\_\_\_

## DESCRIPTION OF JOB

### SIZE - COLOURS - STOCK

S

PRINT 1  
2565 STEELS AV EAST UNIT  
BRAMPTON ON

CARD \*\*\*\*\*2558  
CARD TYPE VISA  
DATE 2018/10/05  
TIME 15:51:57  
RECEIPT NUMBER  
M84069034-001-960-007-0

PURCHASE  
TOTAL

\$50.85

## Proof Required

☐ **YES** ☐ In person  
☐ Email proof ☐ Text proof

☐ **NO** ☐ PRINTER'S DISCRETION  
☐ DESIGN PROVIDED

(We will not accept any responsibility for any errors, colour mismatch and so forth)

☐ **REPRINT** ☐ WITH CHANGES  
☐ NO CHANGES

☐ Shipping Address:

## APPROVED

AUTH# 047138 01-027  
THANK YOU

## COST

	New plate charges		45

☐ CREDIT C.C.# \_\_\_\_\_ EXP. 1  
☐ VISA ☐ M/C ☐ AMEX  
☐ DEBIT ☐ CASH ☐ INTERAC NAME/PASS: \_\_\_\_\_

By Signing the bottom, I do confirm my order as stated above; And do acknowledge that it is my personal and Business's (that I am representing) sole responsibility to pay the remaining balance in full, And do hereby authorize Print1 to charge goods and services to my credit card. I agree to use the above information for future purchases and for any overdue amounts on account for which payment has not been received. And do agree to the terms and conditions of Print1.

Name:

Signature:

Date:

Pick Up/Del.

Name:

Signature:

DESIGNING ~ <small>CHARGE CAN CHANGE DEPENDING ON TIME REVERSED</small>	\$
SUB-TOTAL	\$ 45
TAXES	\$ +
<b>TOTAL</b>	\$ 50.85
DEPOSIT (min 50%)	\$
<b>BALANCE</b>	\$ 0

**Expenses not subject to spending limits**

Accounting and audit	+ \$	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$	
Office expenses incurred after voting day	+ \$	
Phone and/or internet expenses incurred after voting day	+ \$	
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$	
Bank charges incurred after voting day	+ \$	
Interest charged on loan after voting day	+ \$	
Expenses related to recount	+ \$	
Expenses related to controverted election	+ \$	
Expenses related to compliance audit	+ \$	
Expenses related to candidate's disability (provide full details)		
1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
Other (provide full details)		
1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
<b>Total Expenses not subject to spending limits</b>	<b>= \$</b>	<b>C4</b>

**Total Campaign Expenses (C2 + C3 + C4)****= \$ 418 10 C5****Box D: Calculation of Surplus or Deficit**

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 – C5)	+ \$	D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$	D2
<b>Total (D1 – D2)</b>	<b>= \$</b>	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	
<b>Surplus (or deficit) for the campaign</b>	<b>= \$</b>	<b>D3</b>

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

## Part I – Summary of Contributions

+ \$ 418.10

+ \$

\$

- 1

\$

- 1

- \$

- \$

= \$ 1418.10 1A

**Table 1: Monetary contributions from individuals other than candidate or spouse**

Table 1. Monetary Contributions from Individuals Other than Candidate or Spouse				
Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			Total	

☐ Additional information is listed on separate supplementary attachment.



Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment		Total

**Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign**  
**(Note: value must be recorded as a contribution from the candidate and as an expense)**

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment				Total



## Schedule 2 – Fundraising Events and Activities

### Fundraising Event/Activity

Complete a separate schedule for each event or activity held

☐ Additional schedule(s) attached

Description of fundraising event/activity \_\_\_\_\_

Date of event/activity (yyyy/mm/dd) \_\_\_\_\_

#### Part I – Ticket revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold

Total Part I (2A X 2B) (include in Part 1 of Schedule 1)

\$	_____	2A
X	_____	2B
		= \$ _____

#### Part II – Other revenue deemed a contribution

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1. _____	+	\$ _____
2. _____	+	\$ _____
3. _____	+	\$ _____
4. _____	+	\$ _____
5. _____	+	\$ _____
		= \$ _____

Total Part II (include in Part 1 of Schedule 1)

#### Part III – Other revenue not deemed a contribution

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1. _____	+	\$ _____
2. _____	+	\$ _____
3. _____	+	\$ _____
4. _____	+	\$ _____
5. _____	+	\$ _____
		= \$ _____

Total Part III (include under Income in Box C)

#### Part IV – Expenses related to fundraising event or activity

Provide details

1. _____	+	\$ _____
2. _____	+	\$ _____
3. _____	+	\$ _____
4. _____	+	\$ _____
5. _____	+	\$ _____
6. _____	+	\$ _____
7. _____	+	\$ _____
8. _____	+	\$ _____
		= \$ _____

Total Part IV Expenses (include under Expenses in Box C)

**Auditor's Report****Municipal Elections Act, 1996 (Section 88.25)**

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Municipality	Date (yyyy/mm/dd)
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**Contact Information**

Last Name or Single Name	Given Name(s)	Licence Number
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Address		
Suite/Unit No.	Street No.	Street Name

Municipality	Province	Postal Code
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Telephone No. (including area code)	Email Address
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The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

☐ Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.